Schedule of Auditable Activity (SAA) - by service

| | | | 11 | ITERNAL AUDI | | | | | INTERNAL AUDIT | | | | | INTERNAL AUDIT PLAN 2024/25 |
|--|--|---|------------------|----------------------------|--|-----------------|--------|------------|-----------------|-------------|-----------|-----------------|-----------------|--|
| | | | | LAST | ASSURANCE | HofS REQUEST | | | REPUTATIONAL | | CORPORATE | | | |
| SERVICE AREA Partnerships | SERVICE TEAM Strategic Partnerships | AUDIT TOPIC 5C Partnerships Client | COUNCIL Joint | AUDITED Not audited | RATING No rating | Yes | (x2) | (x2) | RISK | LEGAL RISK | RISK | (MIN.7, MAX.21) | Yes | AUDIT / ADVISORY / KEY FINANCIAL Audit - 5Cs Contract Exit - IT Programme |
| Partnerships | Strategic Partnerships | Growth Board Support (Future Oxfordshire Partnership) | Joint | Not audited | No rating | No | 2 | 1 | 2 | 1 | 2 | 11 | No | |
| Development & Corporate Lan | - V | Strategic Property | Joint | 2021/22 | Limited | Yes | 3 | 1 | 3 | 3 | 3 | 17 | Yes | Audit - Contract Management |
| Development & Corporate Lan | ndlord Strategic Property | Asset Management Strategy | Joint | 2021/22 | Limited | No | 2 | 2 | 3 | 3 | 3 | 17 | No | |
| Development & Corporate Lan | ndlord Strategic Property | Development Initiatives | Joint | Not audited | No rating | No | 2 | 2 | 2 | 2 | 2 | 14 | No | |
| Development & Corporate Lan | | Disposals Policy | Joint | 2021/22 | Full | No | 2 | 2 | 2 | 2 | 1 | 13 | No | |
| Development & Corporate Lan | | Property Database | Joint | 2021/22 | Limited | No | 2 | 1 | 2 | 2 | 3 | 13 | No | |
| Development & Corporate Lan | | Property Operations (Facilities) | Joint | 2023/24 | Reasonable | No | 3 | 2 | 3 | 1 | 2 | 16 | No | |
| Development & Corporate Lan | | Leisure Centres | Joint | 2015/16 | Satisfactory | Yes | 3 | 1 | 3 | 2 | 2 | 15 | Yes | Audit - Contract Management |
| Development & Corporate Lan | | Beacon | Vale | 2017/18 | No rating | Yes | 3 | 2 | 3 | 1 | 3 | 17 | Yes | Advisory - Arts Centres Action Plan Performance |
| Development & Corporate Lan Development & Corporate Lan | | Cornerstone Toilets | South | 2019/20 2020/21 | Limited | Yes | 3 | 2 | 3 | 1 | 3 | 17 | Yes | Advisory - Arts Centres Action Plan Performance |
| Development & Corporate Lan | | Community Centres | Joint Joint | 2020/21 | No rating Audit in progress | No No | 2 | <u>2</u> 1 | 2 | 2 | 2 | 14 | No No | |
| Development & Corporate Lan | | Health & Safety (incl. Lone Working) | Joint | 2023/24 | Limited | Yes | 2 | 1 | 3 | 2 | 2 | 16 | No | |
| Development & Corporate Lan | | Capital Projects | Joint | Not audited | No rating | Yes | 3 | 1 | 3 | 2 | 3 | 16 | Yes | Audit - Salix Grant Funding |
| Development & Corporate Lan | | Grounds & Parks Maintenance | Joint | 2022/23 | Limited | No | 3 | 2 | 3 | 2 | 2 | 17 | No | |
| Development & Corporate Lan | | Tree Management & Inspections | Joint | 2022/23 | Limited | No | 2 | 1 | 3 | 2 | 1 | 12 | No | |
| Development & Corporate Lan | ndlord Technical Services | Engineering Services (Sewerage, Flooding, Drainage) | Joint | Not audited | No rating | No | 1 | 1 | 2 | 1 | 1 | 8 | No | |
| Development & Corporate Lan | ndlord Technical Services | Cemeteries | South | Not audited | No rating | No | 1 | 1 | 2 | 1 | 2 | 9 | No | |
| Development & Corporate Lan | ndlord Technical Services | Car Parks | Joint | 2021/22 | Satisfactory | Yes | 2 | 2 | 2 | 1 | 1 | 12 | Yes | Audit - Contract Management |
| Development & Corporate Lan | | Moorings | Vale | 2019/20 | No rating | No | 1 | 1 | 2 | 1 | 1 | 8 | No | |
| Development & Corporate Lan | | Economic Development | Joint | 2021/22 | Satisfactory | No | 2 | 2 | 3 | 1 | 2 | 14 | No | |
| Development & Corporate Lan | | Market Towns | Joint | Not audited | No rating | No | 1 | 1 | 1 | 1 | 1 | 7 | No | 1 |
| Development & Corporate Lan | | Enterprise Zones | Joint | Not audited | No rating | No | 2 | | 2 | | 1 | 10 | No | |
| Development & Corporate Lan | | Science Vale Brand | Joint | Not audited | No rating | No | | 1 | 2 | | 1 | 8 | No | |
| Development & Corporate Lan | ndlord Economic Development Development - Large Sites | OxLEP Partnership Lead & Local Economic Input | Joint | Not audited 2019/20 | No rating Satisfactory | Yes | 2 | 1 | 2 | 1 | 1 | 10 18 | Yes | Advisory - UKSPF and REPF Government Returns Audit - Development Management |
| Planning Planning | Development - Large Sites | Major Applications Enforcement | Joint Joint | 2019/20 | Satisfactory | No No | 3 | 2 | 3 | 2 | 3 | 18 | Yes Yes | Audit - Development Management |
| Planning | Development - Large Sites | Appeals | Joint | 2019/20 | Audit in progress | No | 2 | 2 | 3 | 2 | 2 | 14 | No | |
| Planning | Development - Applications | Building Control / Registration | Joint | 2023/24 | Limited | Yes | 2 | 2 | 3 | 2 | 2 | 15 | Yes | Audit - Building Control |
| Planning | Development - Applications | Minor/Other Applications | Joint | 2019/20 | Satisfactory | No | 3 | 2 | 3 | 2 | 3 | 18 | Yes | Audit - Development Management |
| Planning | Planning Business | Registration DM | Joint | 2019/20 | Satisfactory | No | 1 | 1 | 1 | 2 | 1 | 8 | Yes | Audit - Development Management |
| Planning | Planning Business | Footpaths | Joint | Not audited | No rating | No | 1 | 1 | 1 | 1 | 1 | 7 | No | |
| Planning | Planning Business | Planning Business Support | Joint | 2019/20 | Satisfactory | No | 1 | 1 | 1 | 1 | 1 | 7 | Yes | Audit - Development Management |
| Planning | Planning Business | Urban Design | Joint | 2019/20 | Satisfactory | No | 1 | 1 | 1 | 1 | 1 | 7 | No | |
| Planning | Planning Business | Trees | Joint | | No rating | No | 1 | 1 | 1 | 1 | 1 | 7 | No | |
| Planning | Planning Business | Landscape | Joint | 2019/20 | Satisfactory | No | 1 | 1 | 1 | 1 | 1 | 7 | No | |
| Planning | Planning Business | Ecology | Joint | 2019/20 | Satisfactory | Yes | 2 | 1 | 2 | 1 | 2 | 11 | Yes | Advisory - Biodiversity Net Gain Government Return |
| Planning | Planning Business | Conservation & Listed Buildings | Joint | 2019/20 | Satisfactory | No | 1 | 1 | 1 | 1 | 1 | 7 | No | |
| Housing & Environment | Housing Delivery | Housing Strategy | Joint | Not audited | No rating | Yes | 3 | 2 | 3 | 2 | 3 | 18 | Yes | Audit - Housing Compliance |
| Housing & Environment | Housing Delivery | Housing Delivery | Joint | Not audited | No rating | No | 1 | 1 | 3 | 2 | 2 | 11 | No | Audit Housing Compliance |
| Housing & Environment Housing & Environment | Housing Delivery Housing Delivery | Affordable Housing Community Led Housing | Joint Joint | Not audited Not audited | No rating No rating | Yes No | 3 | 2 | 3 | 2 | 3 | 18 13 | Yes No | Audit - Housing Compliance |
| Housing & Environment | Housing Delivery | Mobile Home Parks | Joint | 2022/23 | Reasonable | No | 2 | 2 | 2 | 2 | <u> </u> | 12 | No | |
| Housing & Environment | Housing Needs | Housing Allocations | Joint | 2022/23 | Satisfactory | No | 1 | 2 | 3 | 2 | 1 | 12 | No | |
| Housing & Environment | Housing Needs | Temporary Accommodation | Joint | 2022/23 | Reasonable | No | 1 | 1 | 3 | 1 | 2 | 10 | No | |
| Housing & Environment | Housing Needs | Disabled Facility Grants | Joint | 2019/20 | Full | Yes | 2 | 2 | 2 | 1 | 2 | 13 | Yes | Audit - Disabled Facility Grants |
| Housing & Environment | Housing Needs | Private Sector Housing | Joint | Not audited | No rating | No | 1 | 1 | 3 | 1 | 1 | 9 | No | , |
| Housing & Environment | Environmental Services | Environmental Protection | Joint | 2016/17 | Limited | No | 1 | 1 | 3 | 2 | 1 | 10 | No | |
| Housing & Environment | Environmental Services | Waste Management & Recycling | Joint | 2015/16 | Substantial | Yes | 3 | 1 | 3 | 3 | 3 | 17 | Yes | Audit - Waste Open Book Contract Management Advisory - Waste Depot |
| Housing & Environment | Environmental Services | Garden Waste | Joint | 2021/22 | Satisfactory | No | 3 | 1 | 3 | 1 | 3 | 15 | No | |
| Housing & Environment | Environmental Services | Litter & Street Cleaning | Joint | Not audited | No rating | No | 1 | 1 | 3 | 1 | 1 | 9 | No | |
| Housing & Environment | Environmental Services | Food Safety | Joint | 2022/23 | Substantial | No | 1 | 1 | 3 | 2 | 2 | 11 | No | |
| Finance | Strategic Finance | Financial Accounting | Joint | 2022/23 | Satisfactory | Yes | 3 | 3 | 3 | 3 | 1 | 19 | No | |
| Finance | Strategic Finance | Budgetary Control | Joint | 2019/20 | Satisfactory | No | 3 | 1 | 3 | 1 | 3 | 15 | No | |
| Finance | Strategic Finance | Capital Management & Accounting | Joint | 2022/23 | Satisfactory | Yes | 3 | 2 | 2 | | 2 | 15 | Yes | Audit - Capital Projects |
| Finance | Strategic Finance | Community Loans Scheme | South | 2013/14 | Full | No | 2 | 2 | 2 | | 1 | 12 | No | + |
| Finance Finance | Strategic Finance Strategic Finance | Council Fees & Charges Credit Card Usage | Joint Joint | 2021/22 2023/24 | Satisfactory Reasonable | No No | 3 | 1 | 3 | | <u> </u> | 15 13 | No No | |
| Finance | Strategic Finance | General Ledger | Joint | 2023/24 | Audit in progress | Yes | 2 | <u>১</u> | <u> </u> つ | | 2 | 13 | Yes | Key Financial - General Ledger |
| Finance | Strategic Finance | Internal Recharges | Joint | 2023/24 2017/18 | Satisfactory | No | 3 | 1 | 1 | 1 | 2 | 12 | No | |
| Finance | Strategic Finance | Leases | Joint | 2022/23 | Satisfactory | No | 3 | 2 | 1 | | <u> </u> | 12 | No | |
| Finance | Strategic Finance | Payroll | Joint | 2023/24 | Reasonable | Yes | 3 | 3 | 3 | 3 | 3 | 21 | Yes | Key Financial - Payroll |
| Finance | Strategic Finance | Petty Cash Procedures | Joint | 2018/19 | Limited | No | 2 | 2 | 1 | 1 | 1 | 11 | No | |
| Finance | Strategic Finance | Petty Cash Spot Checks | Joint | 2017/18 | Full | No | 2 | 2 | 1 | 1 | 1 | 11 | No | |
| Finance | Strategic Finance | Treasury Management | Joint | 2023/24 | Audit in progress | Yes | 3 | 3 | 3 | 1 | 2 | 18 | Yes | Key Financial - Treasury Management |
| Finance | Strategic Finance | S106/CIL | Joint | 2021/22 | Substantial | Yes | 3 | 2 | 3 | 2 | 2 | 17 | Yes | Audit - S106 Developer Contributions |
| Finance | Revenues & Benefits | Council Tax | Joint | 2023/24 | Reasonable | Yes | 3 | 3 | 3 | 2 | 2 | 19 | Yes | Key Financial - Council Tax |
| Finance | Revenues & Benefits | Discretionary Fund | Joint | 2022/23 | Substantial | No | 2 | 3 | 3 | 2 | 2 | 17 | No | |
| Finance | Revenues & Benefits | Central Government Support Schemes | Joint | | No rating | No | 2 | 3 | 3 | 2 | 2 | 17 | No | |
| Finance | Revenues & Benefits | Housing Benefits & Council Tax Reduction Scheme | Joint | 2023/24 | Reasonable | Yes | 3 | 3 | 3 | 2 | 2 | 19 | Yes | Key Financial - HBCTRS |
| Finance | Revenues & Benefits | National Non Domestic Rates (NNDR) | Joint | 2023/24 | Reasonable | Yes | 3 | 3 | 3 | 2 | 2 | 19 | Yes | Key Financial - NNDR |
| Finance | Exchequer & Procurement | Accounts Payable | Joint | 2023/24 | Audit in progress | | 3 | 3 | 3 | 2 | 2 | 19 | Yes | Key Financial - Accounts Payable |
| Finance | Exchequer & Procurement | Accounts Receivable | Joint | 2023/24 | Audit in progress | | 3 | 3 | 2 | 2 | 2 | 18 | Yes | Key Financial - Accounts Receivable |
| Fillance | Eveloper 9 Dressurement | Bank Contract & Arrangements | Joint | 2014/15 | Full | No | 2 | 1 | 1 | 2 | 3 | 12 | No | |
| | Exchequer & Procurement | | | | | | | | | | | | | |
| Finance Finance | Exchequer & Procurement | Cash Management (prev. Cash Office and Cashiering) | Joint | 2023/24 | Audit in progress | No | 3 | 3 | 2 | 1 | 1 | 16 | No | |
| Finance Finance All services Finance | | | | | Audit in progress Satisfactory Audit in progress | | 3 3 | 3 2 | 2 3 | 1 2 2 | 1 3 | 16 18 19 | No Yes No | Audit - Contract Management |

| | | | IN | TERNAL AUDI | T REPORTS | | | | INTERNAL AUDIT | | G | | | INTERNAL AUDIT PLAN 2024/25 |
|------------------|------------------------------|---|---------|-------------|-------------------|---------|----------------|------------|----------------|------------|-----------|-----------------|--------|---|
| | | | | | ASSURANCE | HofS | FINANCIAL RISK | FRAUD RISK | REPUTATIONAL | | CORPORATE | RISK SCORE | AUDIT | |
| RVICE AREA | SERVICE TEAM | | COUNCIL | - | RATING | REQUEST | (x2) | (x2) | RISK | LEGAL RISK | RISK | (MIN.7, MAX.21) | YES/NO | AUDIT / ADVISORY / KEY FINANCIAL |
| ance | Internal Audit & Risk | Insurance | Joint | | Audit in progress | No | 3 | 2 | 3 | 3 | 2 | 18 | No | |
| al & Democratic | Legal - Operational | Land Charges | Joint | 2021/22 | Satisfactory | No | 2 | 1 | 3 | 1 | 1 | 11 | No | |
| al & Democratic | Legal - Operational | Legal Services - Contract & Property | Joint | 2013/14 | Full | No | 2 | 1 | 3 | 1 | 2 | 12 | No | |
| al & Democratic | Legal - Governance | Legal Services - Planning & Litigation | Joint | 2013/14 | Full | No | 2 | 1 | 3 | 1 | 2 | 12 | No | |
| al & Democratic | Legal - Governance | Information Governance (FOI, EIR, SAR) | Joint | 2021/22 | Full | No | 1 | 2 | 3 | 3 | 3 | 15 | No | |
| al & Democratic | Legal - Governance | Data Protection/GDPR | Joint | 2019/20 | Satisfactory | No | 3 | 2 | 3 | 3 | 2 | 18 | No | |
| al & Democratic | Legal - Governance | Anti-Fraud & Corruption Policies | Joint | 2014/15 | Limited | No | 1 | 3 | 2 | 1 | 1 | 12 | No | |
| al & Democratic | Legal - Governance | Whistleblowing | Joint | 2014/15 | Limited | No | 1 | 3 | 2 | 1 | 1 | 12 | No | |
| al & Democratic | Legal - Governance | Corporate Governance | Joint | 2014/15 | Full | No | 1 | 2 | 3 | 1 | 2 | 12 | No | |
| al & Democratic | Democratic Services | Democratic Services | Joint | 2023/24 | Limited | No | 1 | 1 | 3 | 3 | 2 | 12 | No | |
| al & Democratic | Democratic Services | Elections & Elections Payments | Joint | | Reasonable | No | 1 | 2 | 3 | 2 | 3 | 14 | No | |
| al & Democratic | Democratic Services | Gifts and Hospitality | Joint | | Satisfactory | No | 1 | 2 | 3 | 1 | 1 | 11 | No | |
| al & Democratic | Licensing & Community Safety | Licensing | Joint | | Satisfactory | No | 2 | 2 | 3 | 2 | 1 | 14 | No | |
| gal & Democratic | Licensing & Community Safety | Community Safety | Joint | 2022/23 | Substantial | No | 1 | 1 | 3 | 1 | 1 | 9 | No | |
| al & Democratic | Licensing & Community Safety | Safeguarding | Joint | 2016/17 | Substantial | No | 2 | 1 | 3 | 3 | 1 | 13 | No | |
| al & Democratic | Licensing & Community Safety | CCTV Contract | Joint | 2009/10 | Satisfactory | No | 2 | 1 | 2 | 1 | 1 | 10 | No | |
| porate Services | Communications & Engagement | Communications | Joint | Not audited | No rating | No | 1 | 1 | 3 | 1 | 2 | 10 | No | |
| porate Services | Communications & Engagement | Community Engagement | Joint | Not audited | No rating | No | 1 | 1 | 2 | 1 | 1 | 8 | No | |
| porate Services | Communications & Engagement | Marketing | Joint | | No rating | No | 1 | 1 | 2 | 1 | 1 | 8 | No | |
| porate Services | Communications & Engagement | Executive Support | Joint | 2023/24 | Limited | No | 1 | 1 | 2 | 1 | 2 | 9 | No | |
| porate Services | Communications & Engagement | Corporate Consultations | Joint | | Satisfactory | No | 1 | 1 | 3 | 1 | 2 | 10 | No | |
| porate Services | Customer Services | Customer Services | Joint | Not audited | No rating | No | 1 | 1 | 2 | 1 | 1 | 8 | No | |
| porate Services | Customer Services | Complaints | Joint | | Audit in progress | No | 1 | 1 | 3 | 1 | 2 | 10 | No | |
| porate Services | People & Culture | HR Advisory - performance, grievance, absence, policies | Joint | 2022/23 | Substantial | No | 2 | 1 | 2 | 2 | 2 | 12 | No | |
| porate Services | People & Culture | Recruitment & Selection (incl. DBS) | Joint | | Audit in progress | No | 2 | 2 | 2 | 2 | 2 | 14 | No | |
| porate Services | People & Culture | Learning & Development | Joint | | Satisfactory | No | 2 | 1 | 2 | 1 | 2 | 11 | No | |
| porate Services | People & Culture | Payroll | Joint | 2023/24 | Reasonable | Yes | 3 | 3 | 3 | 3 | 3 | 21 | Yes | Key Financial - Payroll |
| porate Services | People & Culture | HR Data Management | Joint | | Reasonable | No | 2 | 2 | 2 | 2 | 2 | 14 | No | |
| porate Services | People & Culture | Travel & Subsistence (expenses) | Joint | | Audit in progress | No | 2 | 3 | 2 | 1 | 1 | 14 | No | |
| porate Services | People & Culture | Annual Leave (incl. annualised hours policy) | Joint | 2020/21 | Limited | No | 2 | 2 | 2 | 2 | 1 | 13 | No | |
| porate Services | People & Culture | Pension Administration | Joint | Not audited | No rating | No | 3 | 2 | 2 | 2 | 1 | 15 | No | |
| porate Services | People & Culture | 5 Councils/Zellis | Joint | Not audited | No rating | No | 2 | 1 | 3 | 2 | 3 | 14 | No | |
| porate Services | People & Culture | Organisational Culture | Joint | | No rating | No | 2 | 1 | 2 | 2 | 2 | 12 | No | |
| porate Services | People & Culture | Diversity & Inclusion | Joint | | Satisfactory | No | 1 | 1 | 2 | 1 | 1 | 8 | No | |
| porate Services | IT Programmes | IT Strategy and Programmes | Joint | | No rating | No | 3 | 1 | 3 | 3 | 3 | 17 | Yes | Audit - 5Cs Contract Exit - IT Programme |
| porate Services | IT Programmes | | Joint | | Audit in progress | No | 3 | 3 | 3 | 2 | 3 | 20 | No | |
| rporate Services | IT Programmes | IT Operations - Info Security & Disaster Recovery | Joint | 2022/23 | Limited | No | 3 | 3 | 3 | 3 | 3 | 21 | No | |
| porate Services | IT Programmes | IT Development | Joint | | No rating | No | 1 | 1 | 3 | 1 | 3 | 11 | No | |
| porate Services | IT Programmes | Data Capture/Geographical Information Systems (GIS) | Joint | 2008/09 | Satisfactory | No | 1 | 1 | 1 | 1 | 1 | 7 | No | |
| porate Services | IT Programmes | Street Naming & Numbering | Joint | 2018/19 | Satisfactory | Yes | 1 | 1 | 2 | 1 | 1 | 8 | No | |
| icy & Programmes | Transformation Programme | Transformation | Joint | Not audited | No rating | Yes | 3 | 1 | 3 | 1 | 3 | 15 | Yes | Advisory - Transformation Programme Board |
| | ~ | | | | 0 | | 5 | · · | 5 | | 5 | 13 | 165 | Advisory - Idox Implementation |
| cy & Programmes | Programmes | Programmes (incl. Corporate Delivery Framework) | Joint | 2015/16 | Limited | Yes | 3 | 1 | 3 | 2 | 3 | 16 | Yes | Audit - Migration Programme |
| cy & Programmes | Programmes | Corporate Performance Reporting | Joint | 2016/17 | Limited | No | 2 | 1 | 2 | 1 | 2 | 11 | No | |
| cy & Programmes | Programmes | Emergency Planning & Business Continuity Management | | | Audit in progress | No | 3 | 2 | 3 | 1 | 3 | 17 | No | |
| cy & Programmes | Policy | Insight, Policy, & Influence | Joint | | No rating | No | 1 | 1 | 1 | 1 | 1 | 7 | No | |
| cy & Programmes | Policy | Corporate Plan | Joint | 2016/17 | Limited | No | 2 | 1 | 2 | 1 | 2 | 11 | No | |
| cy & Programmes | Policy | External Funding | Joint | | No rating | No | 2 | 1 | 1 | 1 | 1 | 9 | No | |
| cy & Programmes | Policy | External Consultations & Motions | Joint | | No rating | No | 1 | 1 | 2 | 1 | 1 | 8 | No | |
| cy & Programmes | Policy | Climate & Biodiversity Action | Joint | | No rating | No | 1 | 1 | 1 | 1 | 1 | 7 | No | |
| cy & Programmes | Policy | Joint Local Plan | Joint | | No rating | No | 3 | 1 | 3 | 2 | 3 | 16 | No | |
| cy & Programmes | Policy | S106/CIL Policy | Joint | | Substantial | No | 3 | 2 | 3 | 2 | 2 | 17 | No | |
| cy & Programmes | Policy | Neighbourhood Planning | Joint | 2015/16 | Limited | No | 1 | 1 | 2 | 1 | 1 | 8 | No | |
| cy & Programmes | Policy | Community Led Planning | Joint | | No rating | No | 1 | 1 | 2 | 1 | 1 | 8 | No | |
| cy & Programmes | Policy | Transport Infrastructure | Joint | | No rating | No | 3 | 1 | 3 | 2 | 3 | 16 | No | |
| cy & Programmes | Community Wellbeing | Community Health | Joint | | No rating | No | 2 | 1 | 3 | 1 | 1 | 11 | No | |
| cy & Programmes | Community Wellbeing | Community Grants | Joint | | Satisfactory | No | 2 | 2 | 3 | 1 | 1 | 13 | No | |
| cy & Programmes | Community Wellbeing | Assets of Community Value | Joint | | Satisfactory | No | 2 | 1 | 2 | 2 | 1 | 11 | No | |
| cy & Programmes | Community Wellbeing | Online Lottery | Joint | 2018/19 | Satisfactory | No | 2 | 2 | 2 | 1 | 1 | 12 | No | |
| cy & Programmes | Community Wellbeing | Community Hub | Joint | 2021/22 | Substantial | No | 2 | 2 | 3 | 2 | 2 | 15 | No | |
| cy & Programmes | Community Wellbeing | Arts | Joint | | No rating | No | 1 | 1 | 2 | 1 | 1 | 8 | No | |
| cy & Programmes | Community Wellbeing | Garden Communities | Joint | | Reasonable | No | 3 | 2 | 3 | 2 | 1 | 16 | No | |
| ey a rogrammes | | | | | | | | | - | | - | | | |

Schedule of Auditable Activity (SAA) - by service

| No. SERVICE TEAM AUDIT TOPIC Finance Strategic Finance Payroll Corporate Services IF Programmes IT Operations - Info Security Corporate Services IF Programmes IT Operations - Info Security Corporate Services IF Programmes IT Operations - Info Security Finance Revenues & Benefits Council Tax Finance Revenues & Benefits National Non Domestic Rat Finance Revenues & Benefits National Non Domestic Rat Finance Exchequer & Procurement Procurement Finance Exchequer & Procurement Procurement Parnato Development - Large Sites Enforcement Parnato Development - Large Sites Enforcement Finance Strategic Finance Treasury Management Finance Strategic Finance Treasury Management Finance Interasity Strategic Property Accounts Revelvable Finance Exchequer A Protectionant Accounts Revelvable Counts Revelvable Finance Interasity Strategic Property Strategic Property < | sset mgt, Active Directory) Tax Reduction Scheme | COUNCIL Joint Joint Joint Joint Joint Joint Joint Joint Joint Joint Joint Joint Joint Joint Joint Joint Joint | AUDITED 2023/24 2023/24 2022/23 2023/24 2022/23 2023/24 2023/24 2023/24 2023/24 2023/24 2023/24 2023/24 2019/20 2019/20 Not audited | RATINGReasonableReasonableLimitedAudit in progressSatisfactoryReasonableReasonableReasonableAudit in progressAudit in progressSatisfactorySatisfactorySatisfactorySatisfactorySatisfactorySatisfactorySatisfactorySatisfactorySatisfactory | REQUEST Yes No No Yes Yes Yes Yes Yes Yes No | (x2) 3 3 3 3 3 3 3 3 3 3 3 | (x2) 3 3 3 3 3 3 3 3 | RISK 3 3 3 3 3 3 3 3 | LEGAL RISK 3 3 3 2 3 | RISK 3 3 3 3 3 | (MIN.7, MAX.21) 21 21 21 21 20 | Yes Yes No No | AUDIT / ADVISORY / KEY FINANCIAL Key Financial - Payroll Key Financial - Payroll |
|--|--|--|--|--|--|---|--|--|--|-------------------------------|---|------------------------|--|
| Corporate Services IT Programmes IT Operations - Info Security / Corporate Services Finance Strategic Finance Finance Finance Finance Finance Revenues & Benefits Housing Benefits & Council Rate Finance Finance Finance Revenues & Benefits Housing Benefits & Council Rate Finance Revenues & Benefits Finance Exchequer & Procurement Procurement Procurement Finance Exchequer & Procurement Procurement Planning Development - Large Siles Enforcement Finance Strategic Finance General Ledger Finance Strategic Finance Contract Management Finance Exdequer & Procurement Accounts Revervable All services All services Contract Management Finance Internal Audit & Risk Insuradit & Strategic Property | sset mgt, Active Directory) Tax Reduction Scheme | Joint Joint Joint Joint Joint Joint Joint Joint Joint Joint Joint | 2022/23 2023/24 2022/23 2023/24 2023/24 2023/24 2023/24 2023/24 2023/24 2019/20 2019/20 2019/20 | Limited Audit in progress Satisfactory Reasonable Reasonable Audit in progress Audit in progress Satisfactory Satisfactory | No No Yes Yes Yes Yes Yes | 3 3 3 3 3 3 3 3 3 | 3 3 3 3 3 3 | 3 3 3 3 | 3 3 2 | 3 3 3 | 21 | No | Key Financial - Payroll |
| Corporate Services IT Programmes IT Operations (IT helpdesk, a Finance Finance Revenues & Benefits Council Tax Finance Revenues & Benefits Housing Benefits & Council Finance Finance Revenues & Benefits National Non Domestic Rate Finance Revenues & Benefits National Non Domestic Rate Finance Exchequer & Procurement Procurement Finance Exchequer & Procurement Procurement Planning Development - Large Sites Enforcement Planning Development - Splications Mijor Applications Housing & Environment Housing Delivery Housing Strategic Finance Strategic Finance Corract Maragement Finance Strategic Finance Corract Maragement Finance Strategic Procerty Housing Strategic Procerty Finance Intervices All services Corract Maragement Finance Exchequer A Sects Corract Maragement Finance Strategic Property Asset Management Strategy Development & Corporate Lando | sset mgt, Active Directory) Tax Reduction Scheme | Joint Joint Joint Joint Joint Joint Joint Joint Joint Joint | 2023/24 2022/23 2023/24 2023/24 2023/24 2023/24 2023/24 2023/24 2019/20 2019/20 2019/20 | Audit in progressSatisfactoryReasonableReasonableReasonableAudit in progressAudit in progressSatisfactorySatisfactory | No Yes Yes Yes Yes Yes | 3 3 3 3 3 3 3 3 | 3 3 3 3 | 3 3 3 | 3 2 3 | 3 | | | |
| Finance Finance Finance Finance Finance Revenues & Benefits Housing Benefits & Council Tax Finance Revenues & Benefits Housing Benefits & Council Tax Finance Revenues & Benefits Housing Benefits & Council Tax Finance Revenues & Benefits Housing Benefits & Council Sepable Finance Exchequer & Procurement Procurement Planning Development - Large Sites Major Applications Planning Development - Large Sites Enforcement Planning Development - Applications Minor/Other Applications Housing & Environment Housing Delivery Housing Stratagy Housing & Environment Housing Courterment Accounts Recoivable Finance Stratagic Finance Treasury Management Finance Extradici Partnerships Stratagic Partnerships Stratagic Partnerships Stratagic Partnerships Stratagic Partnerships Bacon Stratagic Partnerships Stratagic Parts Management Stratagy Development & Corporate Landord Trethics Finance Stratagic Parts Management Stratagy | Tax Reduction Scheme | Joint Joint Joint Joint Joint Joint Joint Joint Joint | 2022/23 2023/24 2023/24 2023/24 2023/24 2023/24 2023/24 2019/20 2019/20 2019/20 | Satisfactory Reasonable Reasonable Reasonable Audit in progress Audit in progress Satisfactory Satisfactory | Yes Yes Yes Yes Yes | 3 3 3 3 3 3 | 3 3 3 | 3 | 2 | 3 | | | |
| Finance Revenues & Benefits Council Tax Finance Revenues & Benefits Housing Benefits & Council Finance Revenues & Benefits National Non Domesite Rat Finance Exchaguer & Procurement Procurement Finance Exchaguer & Procurement Procurement Planning Development - Large Sites Enforcoment Planning Development - Large Sites Enforcoment Planning Development - Applications Minor/Other Applications Plannace Strategic Finance General Ledger Finance Exchaguer & Procurement Accounts Receivable All services All services Datastrations Strategic Finance Datastrations Datastrations Development & Corporate Landord Strategic Finance Garunds & Park Maingement Strategic Development & Corporate Landord Strategic Fin | | Joint Joint Joint Joint Joint Joint Joint Joint Joint | 2023/24 2023/24 2023/24 2023/24 2023/24 2019/20 2019/20 2019/20 | ReasonableReasonableReasonableAudit in progressAudit in progressSatisfactorySatisfactory | Yes Yes Yes Yes | 3 3 3 3 | 3 | 5 | | 1 | 19 | No | |
| Finance Revenues & Benefits Housing Benefits & Acouncil Finance Revenues & Benefits National Non Domestic Rath Finance Exchequer & Procurement Accounts Payable Finance Exchequer & Procurement Procurement Renning Development - Large Sites Major Applications Finance Exchequer & Procurement Housing & Environment Housing & Environment Housing Delivery Housing Strategy Housing & Environment Housing Delivery Afordable Housing Finance Strategy Environment Housing Compare Strategy Finance Strategy Environment Housing Compare Strategy Finance Exchequer & Procurement Accounts Recelvable Atl sarvices Internal Audit & Risk Insurance Ergal & Democratic Legal & Operate Landood Strategic Property Development & Corporate Landood Strategic Property Asset Management Strategy Development & Corporate Landood Strategic Property Asset Management Strategy Development & Corporate Landood Strategic Property Asset Management Strategy | | Joint Joint Joint Joint Joint Joint Joint | 2023/24 2023/24 2023/24 2023/24 2019/20 2019/20 2019/20 | ReasonableReasonableAudit in progressAudit in progressSatisfactorySatisfactory | Yes Yes Yes | 3 | | I 3 | 2 | 2 | 19 | Yes | Key Financial - Council Tax |
| Finance Exchagur & Procurement Accounts Payable Finance Exchagur & Procurement Procurement Planning Development - Large Siles Major Applications Planning Development - Large Siles Major Applications Planning Development - Applications Minor/Other Applications Housing & Environment Housing Delivery Affordable Housing Finance Strategic Finance General Ledger Finance Strategic Finance Trassury Management Finance Exchaquer & Procurement Accounts Receivable All services All services Contract Management Finance Exchaquer A Procurement Accounts Receivable All services All services Contract Management Finance Export Landood Strategic Property Asset Management Strategic Development & Corporate Landood Strategic Property Asset Management Strategic Development & Corporate Landood Strategic Property Asset Management Strategic Development & Corporate Landood Strategic Property Asset Management Strategic | es (NNDR) | Joint Joint Joint Joint Joint Joint | 2023/24 2023/24 2019/20 2019/20 2019/20 | Audit in progress Audit in progress Satisfactory Satisfactory | Yes | 3 | 3 | 3 | 2 | 2 | 19 | Yes | Key Financial - HBCTRS |
| Finance Excheguer & Procurement Procurement Planning Development - Large Sites Enforcement Planning Development - Large Sites Enforcement Planning Development - Large Sites Enforcement Housing & Environment Housing Delivery Housing Strategy Housing & Environment Housing Delivery Affordable Housing Finance Strategic Finance Treasury Management Finance Strategic Finance Treasury Management All services All services Contract Management Finance International Audit & Risk Insurace Legal & Democratic Legal - Governance Data Protection/DDPR Partnerships Strategic Property Strategic Property Development & Corporate Landord Tretegic Property Asset Management & Recyce Development & Corporate Landord Tretegic Property Strategic Property Development & Corporate Landord Tretegic Property Strategic Property Development & Corporate Landord Tretegic Property Asset Management & Recyce Finance < | | Joint Joint Joint Joint Joint Joint | 2023/24 2019/20 2019/20 2019/20 | Audit in progress Satisfactory Satisfactory | | | 3 | 3 | 2 | 2 | 19 | Yes | Key Financial - NNDR |
| Planning Development - Large Sites Major Applications Planning Development - Applications Minor/Oher Applications Housing & Environment Housing Delivery Afrondable Housing Finance Strategic Finance General Ledger Finance Strategic Finance General Ledger Finance Strategic Finance Treasury Management Finance Exchequer & Procurement Accounts Receivable All services All services Contract Management Eggl & Democratic Leggl - Governance Data Protection/GDPR Partnerships SC Partnerships SC Envices Scrategic Property Development & Corporate Landord Strategic Property Asset Management Strategy Development & Corporate Landord Property Assets Cornerstone Development & Corporate Landord Tertaegic Finance Strategic Finance Partnerships Strategic Finance Strategic Finance Strategic Finance Pevelopment & Corporate Landord Tertaegic Finance Strategic Finance Strategic Finance Property Assets Cornerates Strat | | Joint Joint Joint Joint Joint | 2019/20 2019/20 2019/20 | Satisfactory Satisfactory | No | 3 | 3 | 3 | 2 | 2 | 19 | Yes | Key Financial - Accounts Payable |
| Planning Development - Large Sites Enforcement Housing & Environment Housing Delivery Housing Stategy Housing & Environment Housing Delivery Housing Stategy Housing & Environment Housing Delivery Affordable Housing Finance Strategic Finance General Lodger Finance Exchequet & Procurement Accounts Receivable All services All services Contract Management Hance Internal Audit & Risk Insurance Legal & Operate Legal - Governance Data Protection/ODPR Partnerships Strategic Property Asset Management Strategy Development & Corporate Landord Property Assets Beacon Development & Corporate Landord Property Assets Cornerstone Development & Corporate Landord Technical Services Grounds & Partnerships Finance Strategic Finance Strategy Corporate Landord Peloy & Programmes Corporate Landord Technical Services Waste Management & Recyce Finance Revenues & Benefits Discretionary Fund Discretionary Fund | | Joint Joint Joint Joint | 2019/20 2019/20 | Satisfactory | | 3 | 3 | 2 | 2 | 3 | 19 | No | Audit Development Menonement |
| Planning Development - Applications Minor/Other Applications Housing & Environment Housing Delivery Housing Xarategy Housing & Environment Housing Delivery Affordable Housing Finance Strategic Finance Central Ledger Finance Strategic Finance Treasury Management All services All services Contract Management Finance Exchequer & Procurement Accounts Recivable Legal & Democratic Legal - Governance Data Protection/GDPR Partnerships SC Fartnerships SC Fartnerships Development & Corporate Landord Strategic Property Strategic Property Development & Corporate Landord Property Assets Beacon Development & Corporate Landord Property Assets Contertstone Development & Corporate Landord Property Assets Beacon Development & Corporate Landord Provincences Waste Management & Recyce Finance Revense & Benefits Contrad & Programmes Finance Revense & Benefits Central Government Support Finance R | | Joint Joint Joint | 2019/20 | | No No | 3 | 2 | 3 | 2 | 3 | 18 18 | Yes | Audit - Development Management Audit - Development Management |
| Housing & Environment Housing Delivery Housing Strategic Housing & Environment Housing Delivery Affordable Housing Finance Strategic Finance General Ledger Finance Strategic Finance Treasury Management All services All services Contract Management All services All services Contract Management Finance Internal Audit & Risk Insurance Partnerships Strategic Partnerships Strategic Partnerships Development & Corporate Landord Strategic Property Asset Management Strategic Development & Corporate Landord Trechnical Services Grounds & Parks Maintenanc Development & Corporate Landord Technical Services Waste Management & Recyce Finance Strategic Finance Strategic Finance Strategic Partnerships Finance Revenues & Benefits Discretionary Fund Finance Revenues & Benefits Discretionary Fund Finance Policy & Programmes Piogrammes Piogrammes Policy & Programmes Programmes Protectionary Earling & Buain | | Joint | | Satisfactory | No | 3 | 2 | 3 | 2 | 3 | 18 | Yes | Audit - Development Management |
| Finance Strategic Finance General Ledger Finance Treasury Management Finance Exchequer & Procurement Accounts Receivable All services All services Contract Management Finance Internal Audit & Risk Insurance Barnerships Contract Management EcoloryODPR Partnerships Corporate Landlord Strategic Poperty Asset Management Strategy Development & Corporate Landlord Strategic Property Asset Management Strategy Development & Corporate Landlord Freperty Assets Beacon Development & Corporate Landlord Technical Services Grounds & Parks Maintenanc Housing & Environment Environmental Services Waste Management & Recyc Finance Revenues & Benefits Discretionary Fund Finance Revenues & Benefits Contract Morement Support Finance Revenues & Benefits Contract Morement Support Finance Revenues & Benefits Contract Morement Support Corporate Services IT Programmes Freetgeur Planning & Busin Policy & Programmes | | | i tot duditou | No rating | Yes | 3 | 2 | 3 | 2 | 3 | 18 | Yes | Audit - Housing Compliance |
| Finance Strategic Finance Treasury Management All services All services Contract Management All services All services Contract Management Internal Audit & Risk Insurance Data Protection/GDPR Legal & Domocratic Legal - Governance Data Protection/GDPR Development & Corporate Landlord Strategic Property Strategic Property Development & Corporate Landlord Strategic Property Asset Management Strategy Development & Corporate Landlord Trategic Property Asset Management Strategy Development & Corporate Landlord Trategic Finance Grounds & Property Assets Development & Corporate Landlord Technical Services Waste Management & Recyce Finance Revenues & Benefits Discretionary Fund Finance Revenues & Benefits Discretionary Fund Finance Internal Audit & Risk Risk Management (group and searce) Policy & Programmes Programmes Trategy Planning & Busin Policy & Programmes Programmes Frogrammes Policy & Programmes Property Assets Property Operati | | loint | Not audited | No rating | Yes | 3 | 2 | 3 | 2 | 3 | 18 | Yes | Audit - Housing Compliance |
| Finance Exchequer & Procurement Accounts Receivable All services All services Contract Management Finance Internal Audit & Risk Insurance Legal & Democratic Legal - Covernance Data Protection/GDPR Partnerships Strategic Partnerships SC Partnerships Development & Corporate Landlord Strategic Property Asset Management Strategy Development & Corporate Landlord Property Assets Beacon Development & Corporate Landlord Property Assets Beacon Development & Corporate Landlord Property Assets Cornerstone Development & Corporate Landlord Property Assets Cornerstone Inscree Strategic Finance Strategic Property Strategic Property Finance Revenues & Benefits Discretionary Fund Finance Revenues & Benefits Contral Government Support Corporate Services IT Programmes IT Strategy and Programmes Policy & Programmes Programmes Programmes Policy & Corporate Landlord Property Assets Capital Projects | | | 2023/24 | Audit in progress | Yes | 3 | 3 | 2 | 1 | 3 | 18 | Yes | Key Financial - General Ledger |
| All services Contract Management Finance Internal Audit & Risk Insurance Legal & Democratic Legal - Governance Data Protection/GDPR Partnerships Strategic Partnerships SC Partnerships (Scient) Development & Corporate Landlord Strategic Property Strategic Property Development & Corporate Landlord Protection (Science) Beacon Development & Corporate Landlord Property Assets Beacon Development & Corporate Landlord Property Assets Cornerstone Development & Corporate Landlord Property Assets Deacon Textragic Finance Strategic Finance StrotoCl Finance Revenues & Benefits Discretionary Fund Finance Revenues & Benefits Discretionary Fund Finance Internal Audit & Risk Risk Management Corporate Services IT Programmes IT Strategy and Programmes Policy & Programmes Policy Strategic Negarames Procentral Services Policy & Programmes Policy Strategic Negarames Programmes Policy & Programmes Programmes Programmes Caprorate Landlord <td></td> <td>Joint</td> <td>2023/24 2023/24</td> <td>Audit in progress Audit in progress</td> <td>Yes Yes</td> <td>3</td> <td>3</td> <td>3</td> <td>1</td> <td>2</td> <td>18 18</td> <td>Yes</td> <td>Key Financial - Treasury Management Key Financial - Accounts Receivable</td> | | Joint | 2023/24 2023/24 | Audit in progress Audit in progress | Yes Yes | 3 | 3 | 3 | 1 | 2 | 18 18 | Yes | Key Financial - Treasury Management Key Financial - Accounts Receivable |
| Finance Internal Audit & Risk Insurace Legal & Democratic Legal - Governance Data Protection/GDPR Partnerships SC Partnerships Client Sc Partnerships Client Development & Corporate Landlord Strategic Property Asset Management Strategy Development & Corporate Landlord Strategic Property Asset Management Strategy Development & Corporate Landlord Property Assets Beacon Development & Corporate Landlord Technical Services Grounds & Parks Maintenanc Housing & Environment Environmental Services Waste Management & Recyc Finance Revenues & Benefits Discretionary Fund Finance Revenues & Benefits Central Government Support Corporate Services IT Programmes FT Strategy and Programmes Policy & Programmes Progerty Assets Property Operations (Facilitie Development & Corporate Landlord Property Assets Property Operations (Facilitie Policy & Programmes Property Assets Property Operations (Facilitie Development & Corporate Landlord Property Assets Caption (Facilitie Development | | Joint Joint | 2023/24 | Satisfactory | Yes | 3 | 2 | 2 | 2 | 2 | 18 | Yes | Audit - Contract Management |
| Legal & Democritic Legal - Governance Data Protection/GDPR Partnerships Strategic Property Strategic Property Development & Corporate Landlord Strategic Property Asset Management Strategy Development & Corporate Landlord Property Assets Beacon Development & Corporate Landlord Property Assets Cornerstone Development & Corporate Landlord Finance Revenues & Benefits Discretionary Fund Finance Revenues & Benefits Discretionary Fund Strategy and Programmes Folicy & Programmes Programmes Emergency Hanning & Busin Policy & Programmes Property Assets Property Operations (facilitie Development & Corporate Landlord Property Assets Capital Projects Policy & Programmes Property Assets Capital Projects Policy & Programmes Property Assets Capital Projects Fina | | Joint | 2023/24 | Audit in progress | No | 3 | 2 | 3 | 3 | 2 | 18 | No | |
| Development & Corporate Landlord Strategic Property Strategic Property Development & Corporate Landlord Property Assets Beacon Development & Corporate Landlord Property Assets Correctorate Development & Corporate Landlord Property Assets Correctorate Development & Corporate Landlord Prochrizy Assets Correctorate Housing & Environment Environmental Services Waste Management & Recyc Finance Strategic Finance \$106/CIL Finance Revenues & Benefits Discriptionary Fund Finance Internal Audit & Risk Risk Management Corporate Services IT Programmes IT Strategy and Programmes Policy & Programmes Property Assets Property Operations (Facilitie Development & Corporate Landlord Property Assets Capital Projects Policy & Programmes Programmes Property Assets Capital Projects Finance Exchequer & Procurement Cash Management (Inc. Corporate Landlord Policy & Programmes Programmes Programmes Policy & Programmes Programmes Progra | | Joint | 2019/20 | Satisfactory | No | 3 | 2 | 3 | 3 | 2 | 18 | No | |
| Development & Corporate Landlord Strategic Property Asset Management Strategy Development & Corporate Landlord Property Assets Beacon Development & Corporate Landlord Property Assets Cornerstone Development & Corporate Landlord Technical Services Grounds & Parks Maintenanc Housing & Environment Environmental Services Waste Management & Recycus Finance Strategic Finance S106/CIL Finance Revenues & Benefits Discretionary Fund Finance Internal Audit & Risk Risk Management Corporate Services IT Programmes IT Strategy and Programmes Policy & Programmes Programmes Programmes Policy & Programmes Property Assets Property Operty Could & Broke Development & Corporate Landlord Property Assets Health & Stategy (incl. Lone W Development & Corporate Landlord Property Assets Programmes (incl. Corporate Finance Exchequer & Procurement Cash Management (prev. Ca: Policy & Programmes Policy Joint Local Plan Policy & Programmes Policy Joint Loca | | Joint | Not audited | No rating | Yes | 3 | 1 | 3 | 3 | 3 | 17 | Yes | Audit - 5Cs Contract Exit - IT Programme |
| Development & Corporate Landlord Property Assets Beacon Development & Corporate Landlord Property Assets Correnstone Development & Corporate Landlord Technical Services Waste Management & Recycu Finance Strategic Finance S106/CIL Finance Revenues & Benefits Discretionary Fund Finance Revenues & Benefits Cortral Government Support Finance Internal Audit & Risk Risk Management Corporate Services IT Programmes IT Strategy and Programmes Policy & Programmes Prologrammes Prologrammes Development & Corporate Landlord Property Assets Health & Safety (incl. Lone W Development & Corporate Landlord Property Assets Capital Projects Development & Corporate Landlord Property Assets Capital Projects Plicy & Programmes Policy Joint Local Plan Development & Corporate Landlord Property Assets Leisure Cortrate Policy & Programmes Policy Joint Local Plan Development & Corporate Landlord Property Assets Leisure Cortrate | | Joint | 2021/22 | Limited | Yes | 3 | 1 | 3 | 3 | 3 | 17 | Yes | Audit - Contract Management |
| Development & Corporate Landord Property Assets Cornerstone Development & Corporate Landord Technical Services Grounds & Parks Maintenanc Housing & Environment Environmental Services Waste Management & Recycl Finance Strategic Finance Strategic Corporate Landord Finance Revenues & Benefits Discretionary Fund Finance Internal Audit & Risk Risk Management Corporate Services IT Programmes Environments Policy & Programmes Policy S106/CIL Policy Development & Corporate Landord Property Assets Property Operations (Facilitie Development & Corporate Landord Property Assets Programmes Development & Corporate Landord Property Assets Capital Projects Finance Exchequer & Procurement Cash Management (prev. Ca Policy & Programmes Programmes Programmes (nucl. Corporate Corp | | Joint | 2021/22 | Limited | No | 2 | 2 | 3 | 3 | 3 | 17 17 | No | Advisory Arte Controp Action Dian Deferments |
| Development & Corporate Landlord Technical Services Grounds & Parks Maintenanc Housing & Environment Environmental Services Waste Management & Recyc Finance Strategic Finance S106/ClL Finance Revenues & Benefits Discretionary Fund Finance Revenues & Benefits Central Government Support Finance Internal Audit & Risk Risk Management Corporate Services IT Programmes IT Strategy and Programmes Policy & Programmes Property Assets Property Operations (Facilitie Development & Corporate Landlord Property Assets Capital Projects Finance Exchequer & Procurement Cash Management (prev. Cash Management (prev. Cash Management (prev. Cash Policy Development & Corporate Landlord Property Assets Capital Projects Finance Exchequer & Procurement Cash Management (prev. Cash Management (prev. Cash Management Scorporate Landlord Policy & Programmes Policy Transport Infrastructure Policy & Programmes Policy Transport Infrastructure Policy & Programmes Policy Transport Infrastructure <td< td=""><td></td><td>Vale South</td><td>2017/18 2019/20</td><td>No rating Limited</td><td>Yes Yes</td><td><u></u></td><td>2 2</td><td><u>১</u> ২</td><td></td><td><u>৩</u> ২</td><td>17 17</td><td>Ves</td><td>Advisory - Arts Centres Action Plan Performance Advisory - Arts Centres Action Plan Performance</td></td<> | | Vale South | 2017/18 2019/20 | No rating Limited | Yes Yes | <u></u> | 2 2 | <u>১</u> ২ | | <u>৩</u> ২ | 17 17 | Ves | Advisory - Arts Centres Action Plan Performance Advisory - Arts Centres Action Plan Performance |
| Housing & Environment Environmental Services Waste Management & Recyco Finance Revenues & Benefits Discretionary Fund Finance Revenues & Benefits Central Government Support Finance Revenues & Benefits Central Government Support Carporate Services IT Programmes Risk Management Policy & Programmes Programmes Emergency Planning & Busin Policy & Programmes Programmes Emergency Planning & Busin Policy & Programmes Property Assets Health & Safety (incl. Lone W Development & Corporate Landord Property Assets Capital Projects Finance Exchequer & Procurement Cash Management (prev. Car Policy & Programmes Policy Joint Local Plan Policy & Programmes Policy Transport Infrastructure Policy & Program | e | Joint | 2019/20 | Limited | No | 3 | 2 | 3 | 2 | 2 | 17 | No | |
| Finance Strategic Finance S106/CIL Finance Revenues & Benefits Discretionary Fund Finance Revenues & Benefits Central Government Support Finance Internal Audit & Risk Risk Management Corporate Services IT Programmes IT Strategy and Programmes Policy & Programmes Programmes Emergency Planning & Busin Policy & Programmes Property Assets Property Operations (Facilitie Development & Corporate Landord Property Assets Capital Projects Development & Corporate Landord Property Assets Capital Projects Finance Exchequer & Procurement Cash Management (prev. Car Policy & Programmes Programmes Programmes (ncl. Corporate Policy & Programmes Policy Joint Local Plan Policy & Programmes Policy Transport Infrastructure Policy & Programmes Community Wellbeing Garden Communities Planing Evelopment & Corporate Landord Property Assets Leisure Centres Planing Development - Applications Building Control / Registration Housing & Environment Environmental Services | | | | | | <u> </u> | | | _ | - | | | Audit - Waste Open Book Contract Management |
| Finance Revenues & Benefits Discretionary Fund Finance Revenues & Benefits Central Government Support Finance Internal Audit & Risk Risk Management Corporate Services IT Programmes Risk Management Policy & Programmes Programmes Programmes Policy & Programmes Policy & Programmes Property Assets Development & Corporate Landlord Property Assets Property Operations (Facilitie Development & Corporate Landlord Property Assets Programmes Policy & Programmes Programmes Programmes Policy & Programmes Policy Joint Local Plan Policy & Programmes Policy Transport Infrastructure Policy & Programmes Policy Garden Communities Development & Corporate Landlord Property Assets Leisure Centres Planing Development A corporate Landlord Property Ass | ing | Joint | 2015/16 | Substantial | Yes | 3 | 1 | 3 | 3 | 3 | 17 | res | Advisory - Waste Depot |
| Finance Revenues & Benefits Central Government Support Finance Internal Audit & Risk Risk Management Corporate Services IT Programmess IT Strategy and Programmes Policy & Programmes Programmes Emergency Planning & Busin Policy & Programmes Policy \$106/CIL Policy Development & Corporate Landlord Property Assets Property Operations (Facilitie Development & Corporate Landlord Property Assets Capital Projects Finance Exchequer & Procurement Cash Management (prev. Cash Policy & Programmes Policy Joint Local Plan Policy & Programmes Policy Transport Infrastructure Policy & Programmes Policy Transport Infrastructure Policy & Programmes Policy Transport Infrastructure Policy & Programmes Community Wellbeing Garden Communities Property Assets Leisure Centres Envinonment Planing Development - Applications Building Control / Registration Housing & Environment Environmental Services Garden Waste Financ | | Joint | 2021/22 | Substantial | Yes | 3 | 2 | 3 | 2 | 2 | 17 | Yes | Audit - S106 Developer Contributions |
| Finance Internal Audit & Risk Risk Management Corporate Services IT Programmes IT Strategy and Programmes Policy & Programmes Property Assets Emergency Planning & Busin Development & Corporate Landlord Property Assets Health & Safety (incl. Lone W Development & Corporate Landlord Property Assets Health & Safety (incl. Lone W Development & Corporate Landlord Property Assets Capital Projects Finance Exchequer & Procurement Cash Management (prev. Carporate Landlord Policy & Programmes Projecty Assets Leisure Centres Policy & Programmes Policy Joint Local Plan Policy & Programmes Community Wellbeing Garden Communities Development & Corporate Landlord Property Assets Leisure Centres Plaining Development - Applications Building Control / Registration Policy & Programmes Community Wellbeing Carden Waste Finance Strategic Finance Budgetary Control Finance Strategic Finance Connunit Registration Folicy & Programmes Property Assets Preople & Culture Pension Administration <td< td=""><td></td><td>Joint</td><td>2022/23</td><td>Substantial</td><td>No</td><td>2</td><td>3</td><td>3</td><td>2</td><td>2</td><td>17</td><td>No</td><td></td></td<> | | Joint | 2022/23 | Substantial | No | 2 | 3 | 3 | 2 | 2 | 17 | No | |
| Corporate Services IT Programmes Programmes Programmes Emergency Planning & Busin Policy & Programmes Programmes Programmes Emergency Planning & Busin Development & Corporate Landlord Property Assets Property Operations (Facilitie Development & Corporate Landlord Property Assets Health & Safety (incl. Lone W Development & Corporate Landlord Property Assets Capital Projects Finance Exchequer & Procurement Cash Management (prev. Cas Policy & Programmes Programmes Programmes (incl. Corporate Policy & Programmes Policy Transport Infrastructure Policy & Programmes Community Wellbeing Garden Communities Development & Corporate Landlord Property Assets Leisure Centres Planning Development - Applications Building Control / Registration Heasing & Environment Environmental Services Garden Waste Finance Strategic Finance Capital Management & Accoo Finance Strategic Finance Capital Management & Accoo Corporate Services People & Culture Pension Administrati | Schemes | Joint Joint | Not audited 2023/24 | No rating Audit in progress | No No | 2 | 3 | 3 | 2 | 2 | 17 | <u>No</u> | |
| Policy & Programmes Programmes Policy Emergency Planning & Busin Policy & Programmes Policy S106/CIL Policy S106/CIL Policy Development & Corporate Landlord Property Assets Health & Safety (incl. Lone W Development & Corporate Landlord Property Assets Capital Projects Finance Exchequer & Procurement Cash Management (prev. Cas Policy & Programmes Policy Joint Local Plan Policy & Programmes Policy Joint Local Plan Policy & Programmes Policy Transport Infrastructure Policy & Programmes Community Wellbeing Garden Communities Development & Corporate Landlord Property Assets Leisure Centres Planning Development - Applications Building Control / Registration Housing & Environment Environmental Services Garden Waste Finance Strategic Finance Council Fees & Charges Legal & Democratic Legal - Governance Information Governance (FOI Corporate Services People & Culture Pension Administration Policy & Programmes | | Joint | | No rating | No | 3 | 1 | 3 | 3 | 3 | 17 | Yes | Audit - 5Cs Contract Exit - IT Programme |
| Development & Corporate Landlord Property Assets Property Operations (Facilitie Development & Corporate Landlord Property Assets Capital Projects Capital Projects Capital Projects Capital Projects Policy & Programmes Programmes Programmes Programmes (incl. Corporate Policy & Programmes Policy Joint Local Plan Transport Infrastructure Policy & Programmes Policy Transport Infrastructure Community Wellbeing Garden Communities Development & Corporate Landlord Property Assets Leisure Centres Planning Development & Corporate Landlord Property Assets Leisure Centres Building Control / Registration Hanning Development - Applications Building Control / Registration Garden Waste Finance Strategic Finance Capital Management & Accoo Concoli Fees & Charges Legal & Democratic Legal - Governance Information Governance (FOI Corporate Services People & Culture Pension Administration Policy & Programmes Community Wellbeing Community Hub Development & Corporate Landlord | ess Continuity Management | Joint | 2023/24 | Audit in progress | No | 3 | 2 | 3 | 1 | 3 | 17 | No | |
| Development & Corporate Landlord Property Assets Health & Safety (incl. Lone W Development & Corporate Landlord Property Assets Capital Projects Finance Exchequer & Procurement Cash Management (prev. Cash Management & Programmes) Policy & Programmes Policy Joint Local Plan Policy & Programmes Policy Transport Infrastructure Policy & Programmes Community Wellbeing Garden Communities Development & Corporate Landlord Property Assets Leisure Centres Planning Development - Applications Building Control / Registration Housing & Environment Environmental Services Garden Waste Finance Strategic Finance Council Fees & Charges Legal & Democratic Legal - Governance Information Governance (FOI Corporate Services People & Culture Pension Administration Policy & Programmes Community Wellbeing Community Hub Development & Corporate Landlord Property Assets Toilets Development & Corporate Landlord | | Joint | 2021/22 | Substantial | No | 3 | 2 | 3 | 2 | 2 | 17 | No | |
| Development & Corporate Landlord Property Assets Capital Projects Finance Exchequer & Procurement Cash Management (prev. Cash Policy & Programmes Policy & Programmes Policy Policy & Programmes Policy Transport Infrastructure Policy & Programmes Policy Policy & Programmes Policy Transport Infrastructure Policy & Programmes Community Wellbeing Garden Communities Development & Corporate Landlord Property Assets Leisure Centres Building Control / Registration Housing & Environment Environmental Services Garden Waste Finance Strategic Finance Building Control / Registration Finance Strategic Finance Council Fees & Charges Legal & Council Fees & Charges Legal & Council Fees & Charges Finance Strategic Finance Council Fees & Charges Legal & Council Fees & Charges Legal & Council Fees & Charges Legal & Democratic Legal - Governance Information Governance (FOI Corporate Services People & Culture Pension Administration Policy & Programmes Transformation Property Assets | / | Joint | 2023/24 | Reasonable | No | 3 | 2 | 3 | 1 | 2 | 16 | No | |
| Finance Exchequer & Procurement Cash Management (prev. Cast Policy & Programmes Policy & Programmes Programmes Programmes Programmes (incl. Corporate Policy & Programmes Policy & Programmes Policy Transport Infrastructure Policy & Programmes Community Wellbeing Garden Communities Development & Corporate Landlord Property Assets Leisure Centres Planning Development - Applications Building Control / Registration Finance Strategic Finance Garden Waste Finance Strategic Finance Council Fees & Charges Legal & Democratic Legal - Governance Information Governance (FOI Corporate Services People & Culture Pension Administration Policy & Programmes Transformation Programme Transformation Policy & Programmes Community Wellbeing Community Hub Development & Corporate Landlord Strategic Property Development Initiatives Development & Corporate Landlord Strategic Property Development Development & Corporate Landlord Brogers Appeals Legal & Democratic Development - Large Sites Appeals | orking) | Joint | 2022/23 | Limited | Yes | 3 | 1 | 3 | 3 | 2 | 16 | No | |
| Policy & Programmes Programmes Programmes Intervention Policy & Programmes Policy Joint Local Plan Policy & Programmes Policy Transport Infrastructure Policy & Programmes Community Wellbeing Garden Communities Development & Corporate Landlord Property Assets Leisure Centres Planning Development - Applications Building Control / Registration Housing & Environment Environmental Services Garden Waste Finance Strategic Finance Budgetary Control Finance Strategic Finance Council Fees & Charges Legal & Democratic Legal - Governance Information Governance (FOI Corporate Services People & Culture Pension Administration Policy & Programmes Transformation Programme Transformation Policy & Programmes Community Wellbeing Community Hub Development & Corporate Landlord Strategic Property Development Initiatives Development & Corporate Landlord Brogetary Control Economic Development Development & Corporate Landlord Broperty Assets | h Office and Cashiering) | Joint Joint | Not audited 2023/24 | No rating Audit in progress | Yes No | 3 | 1 | 3 | 2 | 3 | 16 16 | Yes No | Audit - Salix Grant Funding |
| Policy & Programmes Policy Joint Local Plan Policy & Programmes Policy Transport Infrastructure Policy & Programmes Community Wellbeing Garden Communities Development & Corporate Landlord Property Assets Leisure Centres Planning Development - Applications Building Control / Registration Housing & Environment Environmental Services Garden Waste Finance Strategic Finance Budgetary Control Finance Strategic Finance Council Fees & Charges Legal & Democratic Legal - Governance Information Governance (FOI Corporate Services People & Culture Pension Administration Policy & Programmes Transformation Programme Transformation Policy & Programmes Community Wellbeing Community Hub Development & Corporate Landlord Strategic Property Development Initiatives Development & Corporate Landlord Economic Development Economic Development Planing Development - Large Sites Appeals Development & Corporate Landlord Economic Development Economic Development Development & Corporate Landlord < | 5/ | Joint | 2015/16 | Limited | Yes | 3 | 1 | 3 | 2 | 3 | 16 | Yes | Audit - Migration Programme |
| Policy & Programmes Community Wellbeing Garden Communities Development & Corporate Landlord Property Assets Leisure Centres Planning Development - Applications Building Control / Registration Housing & Environment Environmental Services Garden Waste Finance Strategic Finance Budgetary Control Finance Strategic Finance Council Fees & Charges Legal & Democratic Legal - Governance Information Governance (FOI Corporate Services People & Culture Pension Administration Policy & Programmes Transformation Programme Transformation Policy & Programmes Community Wellbeing Community Hub Development & Corporate Landlord Strategic Property Development Initiatives Development & Corporate Landlord Economic Development Economic Development Planning Development - Large Sites Appeals Legal & Democratic Development - Large Sites Appeals Legal & Democratic Development - Large Sites Appeals Legal & Democratic Corporate Services People & Culture <td></td> <td>Joint</td> <td>Not audited</td> <td>No rating</td> <td>No</td> <td>3</td> <td>1</td> <td>3</td> <td>2</td> <td>3</td> <td>16</td> <td>No</td> <td></td> | | Joint | Not audited | No rating | No | 3 | 1 | 3 | 2 | 3 | 16 | No | |
| Development & Corporate LandlordProperty AssetsLeisure CentresPlanningDevelopment - ApplicationsBuilding Control / RegistrationHousing & EnvironmentEnvironmental ServicesGarden WasteFinanceStrategic FinanceBudgetary ControlFinanceStrategic FinanceCapital Management & AccouFinanceStrategic FinanceCouncil Fees & ChargesLegal & DemocraticLegal - GovernanceInformation Governance (FOICorporate ServicesPeople & CulturePension AdministrationPolicy & ProgrammesTransformation ProgrammeTransformationPolicy & ProgrammesCommunity WellbeingCommunity HubDevelopment & Corporate LandlordStrategic PropertyDevelopment InitiativesDevelopment & Corporate LandlordEconomic DevelopmentEconomic DevelopmentPlanningDevelopment - Large SitesAppealsLegal & DemocraticLicensing & Community SafetyLicensingCorporate ServicesPeople & CultureRecruitment & Selection (inclCorporate ServicesPeople & CultureTravel & Subsistence (expenseCorporate ServicesPeople & Culture <td></td> <td>Joint</td> <td>Not audited</td> <td>No rating</td> <td>No</td> <td>3</td> <td>1</td> <td>3</td> <td>2</td> <td>3</td> <td>16</td> <td>No</td> <td></td> | | Joint | Not audited | No rating | No | 3 | 1 | 3 | 2 | 3 | 16 | No | |
| PlanningDevelopment - ApplicationsBuilding Control / RegistrationHousing & EnvironmentEnvironmental ServicesGarden WasteFinanceStrategic FinanceBudgetary ControlFinanceStrategic FinanceCapital Management & AccouFinanceStrategic FinanceCouncil Fees & ChargesLegal & DemocraticLegal - GovernanceInformation Governance (FOICorporate ServicesPeople & CulturePension AdministrationPolicy & ProgrammesCommunity WellbeingCommunity HubDevelopment & Corporate LandlordStrategic PropertyDevelopment InitiativesDevelopment & Corporate LandlordProperty AssetsToiletsDevelopment & Corporate LandlordEconomic DevelopmentEconomic DevelopmentPainingDevelopment - Large SitesAppealsLegal & DemocraticLicensing & Community SafetyLicensingCorporate ServicesPeople & CultureRecruitment & Selection (inclCorporate ServicesPeople & CultureRecruitment & Selection (inclCorporate ServicesPeople & CultureTravel & Subsistence (expense)Corporate ServicesPeople & Culture< | | Joint | 2022/23 | Reasonable | No | 3 | 2 | 3 | 2 | 1 | 16 | No | |
| Housing & EnvironmentEnvironmental ServicesGarden WasteFinanceStrategic FinanceBudgetary ControlFinanceStrategic FinanceCapital Management & Accouncil Fees & ChargesLegal & DemocraticLegal - GovernanceInformation Governance (FOICorporate ServicesPeople & CulturePension AdministrationPolicy & ProgrammesTransformation ProgrammeTransformationPolicy & ProgrammesCommunity WellbeingCommunity HubDevelopment & Corporate LandlordStrategic PropertyDevelopment InitiativesDevelopment & Corporate LandlordProperty AssetsToiletsDevelopment & Corporate LandlordEconomic DevelopmentEconomic DevelopmentPlaningDevelopment - Large SitesAppealsLegal & DemocraticLicensing & Community SafetyLicensingCorporate ServicesPeople & CultureRecruitment & Selection (inclCorporate ServicesPeople & CultureTravel & Subsistence (expensCorporate ServicesPeople & CultureTravel & Subsistence (expensCorporate ServicesPeople & CultureSconnic/ZellisDevelopment & Corporate LandlordStrategic PropertyDisposals PolicyDevelopment & Corporate | | Joint Joint | 2015/16 2016/17 | Satisfactory Limited | Yes Yes | 3 | 1 | 3 | 2 | 2 | 15 15 | Yes | Audit - Contract Management Audit - Building Control |
| FinanceStrategic FinanceBudgetary ControlFinanceStrategic FinanceCapital Management & AccouFinanceStrategic FinanceCouncil Fees & ChargesLegal & DemocraticLegal - GovernanceInformation Governance (FOICorporate ServicesPeople & CulturePension AdministrationPolicy & ProgrammesTransformation ProgrammeTransformationPolicy & ProgrammesCommunity WellbeingCommunity HubDevelopment & Corporate LandlordStrategic PropertyDevelopment InitiativesDevelopment & Corporate LandlordProperty AssetsToiletsDevelopment & Corporate LandlordEconomic DevelopmentEconomic DevelopmentPlanningDevelopment - Large SitesAppealsLegal & DemocraticLicensing & Community SafetyLicensingCorporate ServicesPeople & CultureRecruitment & Selection (inclCorporate ServicesPeople & CultureTravel & Subsistence (expensCorporate ServicesPeople & CultureTravel & Subsistence (expensCorporate ServicesPeople & CultureStrategic PropertyDevelopment & Corporate LandlordStrategic PropertyDisposals PolicyDevelopment & Corporate LandlordStrate | | Joint | 2016/17 | Satisfactory | No | 2 | 2 | 3 | 1 | 3 | 15 | No | |
| FinanceStrategic FinanceCapital Management & AccouFinanceStrategic FinanceCouncil Fees & ChargesLegal & DemocraticLegal - GovernanceInformation Governance (FOICorporate ServicesPeople & CulturePension AdministrationPolicy & ProgrammesTransformation ProgrammeTransformationPolicy & ProgrammesCommunity WellbeingCommunity HubDevelopment & Corporate LandlordStrategic PropertyDevelopment InitiativesDevelopment & Corporate LandlordProperty AssetsToiletsDevelopment & Corporate LandlordEconomic DevelopmentEconomic DevelopmentPlanningDevelopment - Large SitesAppealsLegal & DemocraticLicensing & Community SafetyLicensingCorporate ServicesPeople & CultureRecruitment & Selection (inclCorporate ServicesPeople & CultureTravel & Subsistence (expenseCorporate ServicesPeople & CultureTravel & Subsistence (expenseCorporate ServicesPeople & Culture5 Councils/ZellisDevelopment & Corporate LandlordStrategic PropertyDisposals PolicyDevelopment & Corpora | | Joint | 2019/20 | Satisfactory | No | 3 | 1 | 3 | 1 | 3 | 15 | No | |
| Legal & DemocraticLegal - GovernanceInformation Governance (FOICorporate ServicesPeople & CulturePension AdministrationPolicy & ProgrammesTransformation ProgrammeTransformationPolicy & ProgrammesCommunity WellbeingCommunity HubDevelopment & Corporate LandlordStrategic PropertyDevelopment InitiativesDevelopment & Corporate LandlordProperty AssetsToiletsDevelopment & Corporate LandlordEconomic DevelopmentEconomic DevelopmentPlanningDevelopment - Large SitesAppealsLegal & DemocraticDemocratic ServicesElections & Elections PaymerLegal & DemocraticLicensing & Community SafetyLicensingCorporate ServicesPeople & CultureRecruitment & Selection (inclCorporate ServicesPeople & CultureTravel & Subsistence (expenseCorporate ServicesPeople & CultureScouncils/ZellisDevelopment & Corporate LandlordStrategic PropertyDisposals PolicyDevelopment & Corporate LandlordStrategic PropertyProperty LicensingCorporate ServicesPeople & CultureTravel & Subsistence (expenseCorporate ServicesPeople & CultureScouncils/ZellisDevelopment & Corporate LandlordStrategic PropertyDisposals PolicyDevelopment & Corporate LandlordStrategic PropertyProperty DatabaseDevelopment & Corporate LandlordStrategic PropertyProperty Database | Inting | Joint | 2022/23 | Satisfactory | Yes | 3 | 2 | 2 | 1 | 2 | 15 | Yes | Audit - Capital Projects |
| Corporate ServicesPeople & CulturePension AdministrationPolicy & ProgrammesTransformation ProgrammeTransformationPolicy & ProgrammesCommunity WellbeingCommunity HubDevelopment & Corporate LandlordStrategic PropertyDevelopment InitiativesDevelopment & Corporate LandlordProperty AssetsToiletsDevelopment & Corporate LandlordEconomic DevelopmentEconomic DevelopmentPlanningDevelopment - Large SitesAppealsLegal & DemocraticDemocratic ServicesElections & Elections PaymerLegal & DemocraticLicensing & Community SafetyLicensingCorporate ServicesPeople & CultureHR Data ManagementCorporate ServicesPeople & CultureTravel & Subsistence (expenseCorporate ServicesPeople & CultureStrategic PropertyDevelopment & Corporate LandlordStrategic PropertyDisposals PolicyDevelopment & Corporate LandlordStrategic PropertyDisposals PolicyDevelopment & Corporate LandlordStrategic PropertyDisposals PolicyDevelopment & Corporate LandlordStrategic PropertyProperty DatabaseDevelopment & Corporate LandlordStrategic PropertyProperty DatabaseDevelopment & Corporate LandlordProperty AssetsCommunity Centres | | Joint | 2021/22 | Satisfactory | No | 3 | 1 | 3 | 1 | 3 | 15 | No | |
| Policy & ProgrammesTransformation ProgrammeTransformationPolicy & ProgrammesCommunity WellbeingCommunity HubDevelopment & Corporate LandlordStrategic PropertyDevelopment InitiativesDevelopment & Corporate LandlordProperty AssetsToiletsDevelopment & Corporate LandlordEconomic DevelopmentEconomic DevelopmentPlanningDevelopment - Large SitesAppealsLegal & DemocraticDemocratic ServicesElections & Elections PaymerLegal & DemocraticLicensing & Community SafetyLicensingCorporate ServicesPeople & CultureRecruitment & Selection (inclCorporate ServicesPeople & CultureTravel & Subsistence (expenseCorporate ServicesPeople & CultureStrategic PropertyDevelopment & Corporate LandlordStrategic PropertyDisposals PolicyDevelopment & Corporate LandlordStrategic PropertyProperty DatabaseDevelopment & Corporate LandlordStrategic PropertyProperty DatabaseDevelopment & Corporate LandlordProperty AssetsCommunity Centres | EIR, SAR) | Joint | 2021/22 | Full | No | 1 | 2 | 3 | 3 | 3 | 15 | No | |
| Policy & ProgrammesCommunity WellbeingCommunity HubDevelopment & Corporate LandlordStrategic PropertyDevelopment InitiativesDevelopment & Corporate LandlordProperty AssetsToiletsDevelopment & Corporate LandlordEconomic DevelopmentEconomic DevelopmentPlanningDevelopment - Large SitesAppealsLegal & DemocraticDemocratic ServicesElections & Elections PaymerLegal & DemocraticLicensing & Community SafetyLicensingCorporate ServicesPeople & CultureRecruitment & Selection (inclCorporate ServicesPeople & CultureTravel & Subsistence (expense)Corporate ServicesPeople & CultureStrategic PropertyDevelopment & Corporate LandlordStrategic PropertyDisposals PolicyDevelopment & Corporate LandlordStrategic PropertyProperty DatabaseDevelopment & Corporate LandlordProperty AssetsCommunity Centres | | Joint | Not audited | No rating | No | 3 | 2 | 2 | 2 | 1 | 15 | No | Advisory - Transformation Programme Board |
| Development & Corporate LandlordStrategic PropertyDevelopment InitiativesDevelopment & Corporate LandlordProperty AssetsToiletsDevelopment & Corporate LandlordEconomic DevelopmentEconomic DevelopmentPlanningDevelopment - Large SitesAppealsLegal & DemocraticDemocratic ServicesElections & Elections PaymerLegal & DemocraticLicensing & Community SafetyLicensingCorporate ServicesPeople & CultureRecruitment & Selection (inclCorporate ServicesPeople & CultureHR Data ManagementCorporate ServicesPeople & CultureTravel & Subsistence (expense)Corporate ServicesPeople & Culture5 Councils/ZellisDevelopment & Corporate LandlordStrategic PropertyDisposals PolicyDevelopment & Corporate LandlordStrategic PropertyProperty DatabaseDevelopment & Corporate LandlordProperty AssetsCommunity Centres | | Joint | Not audited | No rating | Yes | 3 | 1 | 3 | 1 | 3 | 15 | Yes | Advisory - Idox Implementation |
| Development & Corporate LandlordProperty AssetsToiletsDevelopment & Corporate LandlordEconomic DevelopmentEconomic DevelopmentPlanningDevelopment - Large SitesAppealsLegal & DemocraticDemocratic ServicesElections & Elections PaymerLegal & DemocraticLicensing & Community SafetyLicensingCorporate ServicesPeople & CultureRecruitment & Selection (inclCorporate ServicesPeople & CultureHR Data ManagementCorporate ServicesPeople & CultureTravel & Subsistence (expensCorporate ServicesPeople & Culture5 Councils/ZellisDevelopment & Corporate LandlordStrategic PropertyDisposals PolicyDevelopment & Corporate LandlordStrategic PropertyProperty DatabaseDevelopment & Corporate LandlordProperty AssetsCommunity Centres | | Joint | 2021/22 | Substantial | No | 2 | 2 | 3 | 2 | 2 | 15 | No | |
| Development & Corporate LandlordEconomic DevelopmentEconomic DevelopmentPlanningDevelopment - Large SitesAppealsLegal & DemocraticDemocratic ServicesElections & Elections PaymerLegal & DemocraticLicensing & Community SafetyLicensingCorporate ServicesPeople & CultureRecruitment & Selection (inclCorporate ServicesPeople & CultureHR Data ManagementCorporate ServicesPeople & CultureTravel & Subsistence (expense)Corporate ServicesPeople & Culture5 Councils/ZellisDevelopment & Corporate LandlordStrategic PropertyDisposals PolicyDevelopment & Corporate LandlordStrategic PropertyProperty DatabaseDevelopment & Corporate LandlordProperty AssetsCommunity Centres | | Joint | Not audited | No rating | No | 2 | 2 | 2 | 2 | 2 | 14 | No | |
| PlanningDevelopment - Large SitesAppealsLegal & DemocraticDemocratic ServicesElections & Elections PaymerLegal & DemocraticLicensing & Community SafetyLicensingCorporate ServicesPeople & CultureRecruitment & Selection (inclCorporate ServicesPeople & CultureHR Data ManagementCorporate ServicesPeople & CultureTravel & Subsistence (expense)Corporate ServicesPeople & Culture5 Councils/ZellisDevelopment & Corporate LandlordStrategic PropertyDisposals PolicyDevelopment & Corporate LandlordStrategic PropertyProperty DatabaseDevelopment & Corporate LandlordProperty AssetsCommunity Centres | | Joint | 2020/21 | No rating | No | 2 | 2 | 2 | 2 | 2 | 14 | No | |
| Legal & DemocraticDemocratic ServicesElections & Elections PaymerLegal & DemocraticLicensing & Community SafetyLicensingCorporate ServicesPeople & CultureRecruitment & Selection (inclCorporate ServicesPeople & CultureHR Data ManagementCorporate ServicesPeople & CultureTravel & Subsistence (expense)Corporate ServicesPeople & Culture5 Councils/ZellisDevelopment & Corporate LandlordStrategic PropertyDisposals PolicyDevelopment & Corporate LandlordStrategic PropertyProperty DatabaseDevelopment & Corporate LandlordProperty AssetsCommunity Centres | | Joint | 2021/22 | Satisfactory | No | 2 | 2 | 3 | | 2 | 14 | No | |
| Legal & DemocraticLicensing & Community SafetyLicensingCorporate ServicesPeople & CultureRecruitment & Selection (inclCorporate ServicesPeople & CultureHR Data ManagementCorporate ServicesPeople & CultureTravel & Subsistence (expenseCorporate ServicesPeople & Culture5 Councils/ZellisDevelopment & Corporate LandlordStrategic PropertyDisposals PolicyDevelopment & Corporate LandlordStrategic PropertyProperty DatabaseDevelopment & Corporate LandlordProperty AssetsCommunity Centres | its | Joint Joint | 2023/24 2023/24 | Audit in progress Reasonable | No No | <u>∠</u> 1 | ∠ 2 | <u>১</u> ২ | 1 | 2 | 14 14 | <u>No</u> No | + |
| Corporate ServicesPeople & CultureRecruitment & Selection (inclCorporate ServicesPeople & CultureHR Data ManagementCorporate ServicesPeople & CultureTravel & Subsistence (expense)Corporate ServicesPeople & Culture5 Councils/ZellisDevelopment & Corporate LandlordStrategic PropertyDisposals PolicyDevelopment & Corporate LandlordStrategic PropertyProperty DatabaseDevelopment & Corporate LandlordProperty AssetsCommunity Centres | | Joint | 2023/24 | Satisfactory | No | 2 | 2 | 3 | 2 | 1 | 14 | No | + |
| Corporate ServicesPeople & CultureHR Data ManagementCorporate ServicesPeople & CultureTravel & Subsistence (expenseCorporate ServicesPeople & Culture5 Councils/ZellisDevelopment & Corporate LandlordStrategic PropertyDisposals PolicyDevelopment & Corporate LandlordStrategic PropertyProperty DatabaseDevelopment & Corporate LandlordProperty AssetsCommunity Centres | DBS) | Joint | 2023/24 | Audit in progress | No | 2 | 2 | 2 | 2 | 2 | 14 | No | |
| Corporate ServicesPeople & Culture5 Councils/ZellisDevelopment & Corporate LandlordStrategic PropertyDisposals PolicyDevelopment & Corporate LandlordStrategic PropertyProperty DatabaseDevelopment & Corporate LandlordProperty AssetsCommunity Centres | | Joint | 2023/24 | Reasonable | No | 2 | 2 | 2 | 2 | 2 | 14 | No | |
| Development & Corporate Landlord Strategic Property Disposals Policy Development & Corporate Landlord Strategic Property Property Database Development & Corporate Landlord Property Assets Community Centres | es) | Joint | 2023/24 | Audit in progress | No | 2 | 3 | 2 | | 1 | 14 | No | _ _ |
| Development & Corporate Landlord Strategic Property Property Database Development & Corporate Landlord Property Assets Community Centres | | Joint Joint | Not audited 2021/22 | No rating Full | No No | 2 | 1 | 3 | 2 | 3 | 14 13 | No No | |
| Development & Corporate Landlord Property Assets Community Centres | | Joint | 2021/22 | Limited | No | 2 | <u>∠</u> 1 | 2 | 2 | 3 | 13 | NO No | + |
| | | Joint | 2023/24 | Audit in progress | No | 2 | . 1 | 3 | 2 | 2 | 13 | No | + |
| | | Joint | Not audited | No rating | No | 1 | 2 | 3 | 2 | 2 | 13 | No | |
| Housing & Environment Housing Needs Disabled Facility Grants | | Joint | 2019/20 | Full | Yes | 2 | 2 | 2 | 1 | 2 | 13 | Yes | Audit - Disabled Facility Grants |
| Finance Strategic Finance Credit Card Usage | | Joint | | Reasonable | No | 2 | 3 | 1 | | 1 | 13 | No | |
| Finance Strategic Finance Leases Leasel & Democratic Licensing & Community Safety Safeguerding | | Joint Joint | 2022/23 2016/17 | Satisfactory Substantial | No | 3 | 2 | 1 | | 1 | 13 | No | |
| Legal & Democratic Licensing & Community Safety Safeguarding Corporate Services People & Culture Annual Leave (incl. annualise) | d hours policy) | Joint Joint | 2016/17 | Limited | No No | 2 | 1 2 | 3 2 | 2 2 | 1 | 13 13 | No No | + |
| Policy & Programmes Community Wellbeing Community Grants | | Joint | 2020/21 | Satisfactory | No | 2 | 2 | 3 | 1 | 1 | 13 | No | + |
| Development & Corporate Landlord Technical Services Tree Management & Inspection | วทร | Joint | 2022/23 | Limited | No | 2 | 1 | 3 | 2 | 1 | 12 | No | + |
| Development & Corporate Landlord Technical Services Car Parks | | Joint | 2021/22 | Satisfactory | Yes | 2 | 2 | 2 | 1 | 1 | 12 | Yes | Audit - Contract Management |
| Housing & Environment Housing Delivery Mobile Home Parks | | Joint | 2022/23 | Reasonable | No | 2 | 2 | 2 | 1 | 1 | 12 | No | |
| Housing & Environment Housing Needs Housing Allocations | | Joint | 2022/23 | Satisfactory | No | 1 | 2 | 3 | 2 | 1 | 12 | No | |
| FinanceStrategic FinanceCommunity Loans SchemeFinanceStrategic FinanceInternal Recharges | | South | 2013/14 2017/18 | Full Satisfactory | No No | 2 | 2 | 2 | | 1 | 12 12 | No No | |

| | | | IN | | | | | | INTERNAL AUDIT | | | | | INTERNAL AUDIT PLAN 2024/25 |
|----------------------------------|------------------------------|---|---------|-------------|---------------------|-----------------|------------------------|------|----------------|------------|-------------------|-------------------------------|-----|---|
| SERVICE AREA | SERVICE TEAM | AUDIT TOPIC | COUNCIL | AUDITED | ASSURANCE RATING | HofS REQUEST | FINANCIAL RISK (x2) | (x2) | REPUTATIONAL | LEGAL RISK | CORPORATE RISK | RISK SCORE (MIN.7, MAX.21) | | AUDIT / ADVISORY / KEY FINANCIAL |
| Finance | Exchequer & Procurement | Bank Contract & Arrangements | Joint | 2014/15 | Full | No | 2 | 1 | 1 | 2 | 3 | 12 | No | |
| Legal & Democratic | Legal - Operational | Legal Services - Contract & Property | Joint | 2014/13 | Full | No | 2 | 1 | 3 | 2 | 2 | 12 | No | |
| Legal & Democratic | Legal - Governance | Legal Services - Planning & Litigation | Joint | 2013/14 | Full | No | 2 | 1 | 3 | 1 | 2 | 12 | No | |
| Legal & Democratic | Legal - Governance | Anti-Fraud & Corruption Policies | Joint | 2013/14 | Limited | No | 1 | 3 | 2 | 1 | 1 | 12 | No | |
| Legal & Democratic | Legal - Governance | Whistleblowing | Joint | 2014/15 | Limited | No | 1 | 3 | 2 | 1 | 1 | 12 | No | |
| Legal & Democratic | Legal - Governance | Corporate Governance | Joint | 2014/15 | Full | No | 1 | 2 | 3 | 1 | 2 | 12 | No | |
| Legal & Democratic | Democratic Services | Democratic Services | Joint | 2023/24 | Limited | No | 1 | 1 | 3 | 3 | 2 | 12 | No | |
| Corporate Services | People & Culture | HR Advisory - performance, grievance, absence, policies | Joint | 2022/23 | Substantial | No | 2 | 1 | 2 | 2 | 2 | 12 | No | |
| Corporate Services | People & Culture | Organisational Culture | Joint | Not audited | No rating | No | 2 | 1 | 2 | 2 | 2 | 12 | No | |
| Policy & Programmes | Community Wellbeing | Online Lotterv | Joint | 2018/19 | Satisfactory | No | 2 | 2 | 2 | 1 | 1 | 12 | No | |
| Partnerships | Strategic Partnerships | Growth Board Support (Future Oxfordshire Partnership) | Joint | Not audited | No rating | No | 2 | 1 | 2 | 1 | 2 | 11 | No | |
| Planning | Planning Business | Ecology | Joint | 2019/20 | Satisfactory | Yes | 2 | 1 | 2 | 1 | 2 | 11 | Yes | Advisory - Biodiversity Net Gain Government Retur |
| Housing & Environment | Housing Delivery | Housing Delivery | Joint | Not audited | No rating | No | 1 | 1 | 3 | 2 | 2 | 11 | No | |
| Housing & Environment | Environmental Services | Food Safety | Joint | 2022/23 | Substantial | No | 1 | 1 | 3 | 2 | 2 | 11 | No | |
| Finance | Strategic Finance | Petty Cash Procedures | Joint | 2018/19 | Limited | No | 2 | 2 | 1 | 1 | 1 | 11 | No | |
| Finance | Strategic Finance | Petty Cash Spot Checks | Joint | 2017/18 | Full | No | 2 | 2 | 1 | 1 | 1 | 11 | No | |
| Legal & Democratic | Legal - Operational | Land Charges | Joint | 2021/22 | Satisfactory | No | 2 | 1 | 3 | 1 | 1 | 11 | No | |
| Legal & Democratic | Democratic Services | Gifts and Hospitality | Joint | 2021/22 | Satisfactory | No | <u> </u> | 2 | 2 | | 1 | 11 | No | 1 |
| Corporate Services | People & Culture | Learning & Development | Joint | 2022/23 | Satisfactory | No | 2 | 1 | 2 2 | | <u>ו</u> ס | 11 | No | |
| Corporate Services | IT Programmes | IT Development | Joint | Not audited | No rating | No | <u> </u> | 1 | 2 | | <u>~</u> 2 | 11 | No | |
| Policy & Programmes | Programmes | Corporate Performance Reporting | Joint | 2016/17 | Limited | No | 2 | | <u>ວ</u> | | <u>ວ</u> | 11 | NO | |
| Policy & Programmes | Policy | Corporate Plan | Joint | 2016/17 | Limited | No | 2 | 1 | 2 | 1 | 2 | 11 | No | |
| Policy & Programmes | Community Wellbeing | Community Health | Joint | Not audited | No rating | No | 2 | 1 | 2 | 1 | <u> </u> | 11 | No | |
| Policy & Programmes | Community Wellbeing | Assets of Community Value | Joint | 2019/20 | Satisfactory | No | 2 | 1 | <u>ວ</u> | | 1 | 11 | No | |
| | Economic Development | Enterprise Zones | | Not audited | | | 2 | 1 | 2 | 2 | 1 | 10 | No | |
| | | | Joint | | No rating | No | 2 | 1 | 2 | 1 | 1 | 10 | | Advisory LIKODE and DEDE Coversment Deturns |
| | Economic Development | OxLEP Partnership Lead & Local Economic Input | Joint | Not audited | No rating | Yes | 2 | 1 | 2 | 1 | 1 | | Yes | Advisory - UKSPF and REPF Government Returns |
| Housing & Environment | Housing Needs | Temporary Accommodation | Joint | 2022/23 | Reasonable | No | 1 | 1 | 3 | 1 | 2 | 10 | No | |
| Housing & Environment | Environmental Services | Environmental Protection | Joint | 2016/17 | Limited | No | 1 | 1 | 3 | 2 | 1 | 10 | No | |
| Legal & Democratic | Licensing & Community Safety | CCTV Contract | Joint | 2009/10 | Satisfactory | No | 2 | 1 | 2 | 1 | 1 | 10 | No | |
| Corporate Services | Communications & Engagement | Communications | Joint | | No rating | No | 1 | 1 | 3 | 1 | 2 | 10 | No | |
| Corporate Services | Communications & Engagement | Corporate Consultations | Joint | 2022/23 | Satisfactory | No | 1 | 1 | 3 | 1 | 2 | 10 | No | |
| Corporate Services | Customer Services | Complaints | Joint | 2023/24 | Audit in progress | | 1 | 1 | 3 | 1 | 2 | 10 | No | |
| | Technical Services | Cemeteries | South | | No rating | No | 1 | 1 | 2 | 1 | 2 | 9 | No | |
| Housing & Environment | Housing Needs | Private Sector Housing | Joint | Not audited | | No | 1 | 1 | 3 | 1 | 1 | 9 | No | |
| Housing & Environment | Environmental Services | Litter & Street Cleaning | Joint | Not audited | 9 | No | 1 | 1 | 3 | 1 | 1 | 9 | No | |
| Legal & Democratic | Licensing & Community Safety | Community Safety | Joint | 2022/23 | Substantial | No | 1 | 1 | 3 | 1 | 1 | 9 | No | |
| Corporate Services | Communications & Engagement | Executive Support | Joint | 2023/24 | Limited | No | 1 | 1 | 2 | 1 | 2 | 9 | No | |
| Policy & Programmes | Policy | External Funding | Joint | | No rating | No | 2 | 1 | 1 | 1 | 1 | 9 | No | |
| Policy & Programmes | Community Wellbeing | Active Communities | Joint | 2022/23 | Full | No | 1 | 1 | 3 | 1 | 1 | 9 | No | |
| | Technical Services | Engineering Services (Sewerage, Flooding, Drainage) | Joint | Not audited | No rating | No | 1 | 1 | 2 | 1 | 1 | 8 | No | |
| | Technical Services | Moorings | Vale | | No rating | No | 1 | 1 | 2 | 1 | 1 | 8 | No | |
| Development & Corporate Landlord | Economic Development | Science Vale Brand | Joint | | No rating | No | 1 | 1 | 2 | 1 | 1 | 8 | No | |
| Planning | Planning Business | Registration DM | Joint | 2019/20 | Satisfactory | No | 1 | 1 | 1 | 2 | 1 | 8 | Yes | Audit - Development Management |
| Corporate Services | Communications & Engagement | Community Engagement | Joint | | No rating | No | 1 | 1 | 2 | 1 | 1 | 8 | No | |
| Corporate Services | Communications & Engagement | Marketing | Joint | | No rating | No | 1 | 1 | 2 | 1 | 1 | 8 | No | |
| Corporate Services | Customer Services | Customer Services | Joint | | No rating | No | 1 | 1 | 2 | 1 | 1 | 8 | No | |
| Corporate Services | People & Culture | Diversity & Inclusion | Joint | 2011/12 | Satisfactory | No | 1 | 1 | 2 | 1 | 1 | 8 | No | |
| Corporate Services | IT Programmes | Street Naming & Numbering | Joint | 2018/19 | Satisfactory | Yes | 1 | 1 | 2 | 1 | 1 | 8 | No | |
| Policy & Programmes | Policy | External Consultations & Motions | Joint | | No rating | No | 1 | 1 | 2 | 1 | 1 | 8 | No | |
| Policy & Programmes | Policy | Neighbourhood Planning | Joint | 2015/16 | Limited | No | 1 | 1 | 2 | 1 | 1 | 8 | No | |
| Policy & Programmes | Policy | Community Led Planning | Joint | Not audited | No rating | No | 1 | 1 | 2 | 1 | 1 | 8 | No | |
| Policy & Programmes | Community Wellbeing | Arts | Joint | Not audited | No rating | No | 1 | 1 | 2 | 1 | 1 | 8 | No | |
| Development & Corporate Landlord | Economic Development | Market Towns | Joint | Not audited | No rating | No | 1 | 1 | 1 | 1 | 1 | 7 | No | |
| Planning | Planning Business | Footpaths | Joint | Not audited | No rating | No | 1 | 1 | 1 | 1 | 1 | 7 | No | |
| Planning | Planning Business | Planning Business Support | Joint | 2019/20 | Satisfactory | No | 1 | 1 | 1 | 1 | 1 | 7 | Yes | Audit - Development Management |
| Planning | Planning Business | Urban Design | Joint | 2019/20 | Satisfactory | No | 1 | 1 | 1 | 1 1 | 1 | 7 | No | |
| Planning | Planning Business | Trees | Joint | Not audited | No rating | No | 1 | 1 | 1 | 1 1 | 1 | 7 | No | |
| Planning | Planning Business | Landscape | Joint | 2019/20 | Satisfactory | No | 1 | 1 | 1 | 1 | 1 | 7 | No | |
| Planning | Planning Business | Conservation & Listed Buildings | Joint | 2019/20 | Satisfactory | No | 1 | 1 | 1 | | 1 | 7 | No | |
| Corporate Services | IT Programmes | Data Capture/Geographical Information Systems (GIS) | Joint | 2008/09 | Satisfactory | No | 1 | 1 | 1 | 1 | 1 | 7 | No | |
| Policy & Programmes | Policy | Insight, Policy, & Influence | Joint | Not audited | No rating | No | 1 | 1 | 1 | | 1 | 7 | No | |
| Policy & Ploorannes | | | | | | | | | | | | | | |

Notes:

| Heading | Description |
|----------------------------|---|
| Last audited | Audit year last reviewed |
| Last audit rating | Audit rating of most recent audit |
| Financial risk score (x2)* | Any risk which has a potential adverse financial consequence. |
| Fraud risk score (x2)* | The risk of a person/persons using deception to make a personal gain which has an impact on the organisation. |
| Reputational risk score | Risk of negative perception by the public and stakeholders. |
| Legal risk score | Risk of non-compliance to laws and regulations. Any financial consequence of such a breach is scored in the financial risk element. |
| Corporate risk score | Risk of operational disruption resulting from inadequate or failed internal processes, people and systems or from external events. |
| Risk score | Sum of all the risk scores, with a minimum score of 7 and a maximum score of 21 |
| Key financial audit | Key financial audit, reviewed annually |

* Financial and fraud risk has been weighted (x2), due to higher level of impact if risk materialised.

| Risk Definitions & Scoring | | | | | | | | |
|---|--|--|--|--|--|--|--|--|
| Low risk: 1 Consequences will not be severe and any associated losses relatively small. | | | | | | | | |
| Medium risk: 2 Significant impact to council operations and services. | | | | | | | | |
| High risk: 3 | Major impact to council operations and services. | | | | | | | |